kotak Mutual Fund

TRANSACTION SLIP
Strike off sections that are not applicable

Distributor's ARN/ RIA Code#	Sub-Broker's Name & Code	EUIN	FOLIO NO.	DD / MM / YYYY

☐ #By mentioning RIA/PMS code, I/ We authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.

☐ Declaration for"Execution-only" transactions (only where EUIN box is left blank): "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

SIGNATURE(S)

Sole/First Holder	Second Holder	Third Holder

(To be signed by **All Unitholders** if mode of operation is **'Joint'**)

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

NAME OF SOLE/ FIRST HOLDER :

NAME OF SECOND HOLDER :

NAME OF THIRD HOLDER :

PAN	Sole / First Holder	Second Holder	Third Holder

LEI Number (Legal Entity Identifier) – For Non individuals only: | | | | | | | | | | | | | | | | | | | Valid till | D | D | M | M | Y | Y | Y | Y

PURCHASE

Scheme [___] Option (Please ✔) ☐ IDCW ☞ ☐ Payout OR ☐ Reinvestment

IDCW Frequency [___]

Plan [___] ☐ Growth

Plan your Life Goal. You can assign this investment for your life's important milestones ☐ **Your Dream House** ☐ **Child Education** ☐ **Child Wedding** ☐ **Retirement**

Payment Mode: ☐ OTM (One Time Bank Mandate) ☐ Cheque ☐ RTGS/ NEFT ☐ Funds Transfer

Investment: Rs. [___] Cheque No./ UTR No./ UMRN No. [___] Dated | D | D | M | M | Y | Y | Y | Y

Drawn on [___Name of Bank___] [___Branch___] [___City___]

(Please mention your folio on the face of your investment cheque)

We hereby confirm having initiated the Transfer/RTGS for transfer of Rs. [___] from our account no. [___] with [___] Bank to your account no. [___] with [___] **Bank.**

SWITCH

From: Scheme [___] Plan [___] Option (Please ✔) ☐ IDCW ☞ ○ Payout OR ○ Reinvestment

IDCW Frequency [___] ☐ Growth

To: Scheme [___] Plan [___] ☐ IDCW ☞ ○ Payout OR ○ Reinvestment

IDCW Frequency [___] ☐ Growth

Amount (Rs.)		No. Of Units		All Units (✔)		All Units Free from Exit Load (✔)
	OR		OR		OR	

Important Alert: In case there is any change to your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency.

DEMAT ACCOUNT DETAILS

Please ensure you submit supporting documents evidencing the accuracy of the demat account details mentioned below. Bank details of DP will overwrite the existing details. In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month).

☐ **NSDL** ☐ **CDSL** | DP Name | DP ID | Beneficiary Account No. |

REDEMPTION

Scheme [___] Plan [___] Option (Please ✔) ☐ IDCW ☞ ○ Payout OR ○ Reinvestment

IDCW Frequency [___] ☐ Growth

Amount (Rs.)		No. Of Units		All Units (✔)		All Units Free from Exit Load (✔)
	OR		OR		OR	

If the balance in the scheme/plan is less than the requested amount/ units of redemption request, then the redemption transaction shall be processed for all available units in the scheme/ plan.
If you have opted to redeem/ switch-out 'All Units Free from Exit Load', then the same shall be processed only on FIFO basis.

For investors who have REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY in the above folio

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us:

Name of Bank [___] Bank A/c No. [___]

Branch [___] Bank City [___]

Important Note: If the bank account mentioned above is different from those already registered in your folio, prescribed supporting documents have to be submitted. If bank account details are not filled above OR incorrect /incomplete supporting documents are submitted for a new bank account, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Kotak Mutual Fund or Kotak Mahindra Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.

SIGNATURE(S)

Sole/First Holder	Second Holder	Third Holder

(To be signed by **All Unitholders** if mode of operation is **'Joint'**)