

COMMON TRANSACTION REQUEST - NON FINANCIAL TRANSACTION

For existing unitholders holding units in physical mode. The relevant section to be filled-in are indicated in the title of respective section. Please read documentation requirements and terms & conditions overleaf. Please fill in the information below in English and in BLOCK Letters.

• Website: www.icicipruamc.com • Email: enquiry@icicipruamc.com • Toll free numbers: 1800 222 999 (BSNL/MTNL), 1800 200 6666 (Other Service Providers)



IMPORTANT: Please strike off the unused section(s) to prevent any unauthorised use.

DATE:

D	D	M	M	Y	Y	Y	Y
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A. UNIT HOLDER'S INFORMATION (Mandatory)

Folio No(s)															
Sole/1st Applicant	Mr.	Ms.	M/s												

B. CHANGE OF BANK MANDATE (Please fill section A+B+H)

MANDATORY TO ATTACH OLD AND NEW BANK ACCOUNT PROOF AS GIVEN IN THE INSTRUCTION NO.1

Account Number																Account Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR									
Name of Bank																										
Branch Name																Branch City										
9 Digit MICR code											11 Digit IFSC															

C. PAN, DOB and KYC Updation (Please fill section A+C+H)

Sole/ First Applicant/Guardian																																		
PAN	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											CKYC No. (KIN)	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											Date of Birth*	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<input type="checkbox"/> Enclosed KYC Acknowledgement Letter
D	D	M	M	Y	Y	Y	Y																											
Second Applicant																																		
PAN	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											CKYC No. (KIN)	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											Date of Birth*	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<input type="checkbox"/> Enclosed KYC Acknowledgement Letter
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D	D	M	M	Y	Y	Y	Y																											

D. CONSOLIDATION OF FOLIOS (Please fill section A+D+H)

Note:

- Consolidation can take place only if a. Names of unit holders, b. Address of unit holders, c. Mode of holding, d. Order of unit holders, e. Tax status and Nominee details are identical in all the mentioned folios.
- I/We agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in source folios.
- Bank particulars in the target folio has been retained for your redemption/ dividend proceeds.

Target Folio

(MANDATORY):

Source Folio: I/We wish to consolidate all my/our investments under specified folios into one folio. Folios to be consolidated:

E. CHANGE OF IDCW OPTION (Please fill section A+E+H)

SCHEME NAME	OPTIONS	
	<input type="checkbox"/> PAYOUT TO REINVESTMENT	<input type="checkbox"/> REINVESTMENT TO PAYOUT
	<input type="checkbox"/> PAYOUT TO REINVESTMENT	<input type="checkbox"/> REINVESTMENT TO PAYOUT
	<input type="checkbox"/> PAYOUT TO REINVESTMENT	<input type="checkbox"/> REINVESTMENT TO PAYOUT

F. CHANGE IN MODE OF HOLDING from Joint to Anyone or survivor or vice-versa (Please fill section A+F+H)

All unit holders should sign the form irrespective of mode of holding in the folio

Please tick (✓):

☐

Joint

☐

Anyone or Survivor

G. NOMINATION (REGISTRATION/CHANGE/MODIFICATION/CANCELLATION OF NOMINATION (Please fill section A+H+I))NOMINEE (OPT-IN) Details or OPT-OUT Declaration is **Mandatory** to process the application. Please tick (✓) from below **Option A** or **Option B** as appropriate.**A) FOR NOMINATION OPT-IN:** ☐ I/We hereby nominate the undermentioned nominee(s) to receive the amount to my/our credit in event of my/our death as follows:

Name and address of Nominee(s) [Mandatory]	PAN of the Nominee [Guardian PAN to be quoted if Nominee is Minor]	Relationship with Sole / First unit holder [Mandatory]	Date of Birth [Mandatory]*	Name and address of Guardian (Mandatory if nominee is minor)	Signature of Nominee / Guardian*	Guardian's Relationship with Nominee [Mandatory]	Allocation % to each nominee [Mandatory] (Aggregate should be 100%)
			dd/mm/yyyy			<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	
			dd/mm/yyyy			<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	
			dd/mm/yyyy			<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	

* Applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)

B) FOR NOMINATION OPT-OUT: ☐ (Please tick (✓) if the unit holder does not wish to nominate anyone)

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Signature of First Unit holder

Signature of 2nd Unit holder

Signature of 3rd Unit holder

H. INVESTOR(S) DECLARATION & SIGNATURE(S)

I/We hereby declare and confirm that the information provided in this form is true and correct and is duly supported by the document proof enclosed alongwith the form. In case of non submission of any of the documents or if the documents are not found to be in order, the AMC reserves the right to not register the application submitted. The AMC/Mutual Fund shall not be liable and/or responsible for any loss or damage that I/we may incur if the Form is rejected.

SIGNATURE OF SOLE / FIRST APPLICANT

SIGNATURE OF SECOND APPLICANT

SIGNATURE OF THIRD APPLICANT



ACKNOWLEDGEMENT

COMMON TRANSACTION REQUEST - NON FINANCIAL TRANSACTION

Folio No(s)

Sole/1st Applicant

Mr. Ms. M/s

(Please tick (✓))

- ☐ B. Change of Bank
☐ E. Change of IDCW

- ☐ C. PAN, DOB and KYC Updation
☐ F. Change in Mode of Holding

- ☐ D. Consolidation of Folios
☐ G. Nomination

Acknowledgement Stamp

INSTRUCTIONS

1. Change of Bank details

For existing unit holders – Individual / HUF / Sole Proprietor

DOCUMENT REQUIRED FOR BOTH OLD/EXISTING AND NEW BANK MANDATE : Any One Document to be submitted for the respective mandate. All documents should have the First Unit Holder's Name, Account Number, Account type, IFSC, MICR, Bank address details.

New Bank Mandate :

- Original cancelled cheque
- Bank passbook (with current entries not older than 3 months)
- Self-attested Bank account statement issued by the concerned bank (not older than 3 months) OR, Bank letter, on letterhead of the bank duly signed by branch manager/ authorized personnel stating the investor's bank details.

AND

Old/Existing Bank Mandate :

- Original cancelled cheque
- Bank passbook (with current entries not older than 3 months)

In case of non-availability of old bank proof, **In-Person verification (IPV) is mandatory.**

For IPV - Original with self attested copies of PAN Card as per the applicable Mode of Holding/ Photo Identity Proof for PAN Exempt Investors like Passport, Voter ID, Ration Card, Driving License

For existing unit holders – other than Individual / HUF / Sole Proprietor

Any one of below document is required to be submitted for old and new bank account:

- Original cancelled cheque with First unit holder's name and bank account number pre-printed on the face of the cheque
- Self-attested Bank account statement issued by the concerned bank (not older than 3 months)
- Bank passbook (with current entries not older than 3 months)
- Bank letter, on letterhead of the bank duly signed by branch manager/authorized personnel stating the investor's bank account number, account type, bank branch, MICR and IFSC code of the bank branch (not older than 3 months)

Note :

- (i). In case of photocopies of above documents are submitted, unit holder must procure original for verification at any of the AMC branches or official point of acceptance of transactions.
- (ii). The name printed on the documents should be same as per the folio.
- (iii). The AMC reserves the right to accept the request, subject to additional verifications, production of additional documents or In Person Verification of unit holder.
- (iv). For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned and the Client Master List (CML) is also submitted.

2. Nomination:

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All unit holders should sign the form irrespective of mode of holding in the folio.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee."
- If the sum of the percentage entered in the nomination is less than 100% then this application will be rejected.
- If the nomination percentage is not mentioned, equal weightage would be given to each nominee.

3. In case there is any changes in your KYC information, please update the same by using the prescribed 'KYC Change Request Form' available on our website www.iciciprumpf.com under download section, and submit the same at the point of service of any KYC Registration Agency.

4. Alterations in the form, if any should be countersigned.

5. Date of Birth updation: please provide self-attested PAN, Driving Licence, Passport and birth certificate.